Electronic Acknowledgement Receipt			
EFS ID:	9621485		
Application Number:	10042371		
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Title of Invention:	Systems and methods for creating and trading dynamic securities		
First Named Inventor/Applicant Name:	Andrew C. Gilbert		
Customer Number:	63710		
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File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
,	Transmittal Letter	01-1046 110309 IDS.pdf	42501	no	5
	Hallstilled Letter	01-10-10_110309_ID3.pul	c29ac0c2cdf77ac727dc662cecd64543c767 7965		,
Warnings:					

no

	Information Disclosure Statement (IDS)	01-1046_110309_1449.pdf	32686	no	2
	Filed (SB/08)		32ac93a8e73a3b7c6841e310b1d22e576b1 ac71a		
Warnings:					
Information:					
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3	NPL Documents	09-927628_OA_10-25-2010.pdf	395244	no	11
			c5297		
Warnings:					
Information:					
4	par	12-119604_NOA_11-22-2010. pdf	595011	no	15
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Warnings:					
Information:					
5	NPL Documents	PCT-US08-53533_ISR.pdf	260140	no	6
			c9bbfd1f76c05e5214675a956a1870afc820 e34f		
Warnings:					
Information:					
6	6 NPL Documents	11-675294_OA_09-15-2008.pdf	237220	no	7
			be6583dcab102c9721d6d0fe38b5aa52247 8de5c		
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7	NPL Documents	11-675294_EXIN_06-09-2009.	60613	no	2
		pdf	181f90346617d63a2048713f3d23d6542d1 c2d7b		
Warnings:					
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8	NPL Documents	11-675294_EXIN_07-09-2009. pdf	34959	no	1
•			256dbfc44f6b6aaca53717fbac4e18a07c5c ae97		
Warnings:					
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9	NPL Documents	11-675294_NOA_07-09-2009. pdf	589876	no 2	15
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10 NPL Documents	11-675294_EXIN_08-20-2009.	167091	no	4	
		pai	Ba8a7792d507733e11dd30da05ac9e97c2 4964		

Warnings:					
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11 NPL Documents	NO. B	11-675294_EXIN_11-16-2009.	56640		3
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Warnings:					
Information:					
12	NPL Documents	11-675294_Response_Rule_31	38153	no	2
"	N E Socuments	2_11-19-2009.pdf	ec715102802863a068322982add308d317 2c3d3	110	
Warnings:					
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13	NPL Documents	PCT- US08-53533 IPER 08-19-2009.	201981	no	5
		pdf	6dc/199ec095d022dc09fs848937269510c 3934c		
Warnings:					
Information:					
14 NPL C	NPL Documents	AU_2008216436_Exam_Report	83088	no	2
	W E Documents	_07-30-2010.pdf	Babc6b550e6441fc2f210a52esea573d4ca8 163c		
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.